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PTO/SB/21 (09-04)

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FORM**

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Total Number of Pages in This Submission

12

Application Number 09/547,409

Filing Date April 12, 2000

First Named Inventor Gregory Finn

Art Unit 3628

Examiner Name Clement B. Graham

Attorney Docket Number FINN-002

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☒ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/ Incomplete Application
- ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)
- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Signature

Printed name

Bruce G. Bernstein

Date

September 29, 2005

Reg. No.

34,550

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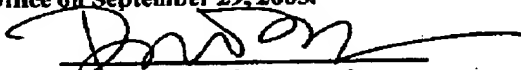
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Appln. No.: 09/547,409 (FINN-002)
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FINN-002
PATENT APPLICATION

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Pursuant to 37 C.F.R. 1.8, I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on September 29, 2005.


Bruce G. Bernstein

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Gregory Finn et al.

Appln. No.: 09/547,409

Group Art Unit: 3628

Filed: April 12, 2000

Examiner: Clement B. Graham

For: INVESTMENT SYSTEM AND METHOD

* * *

AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sent Via Facsimile: (571) 273-8300

Sir:

The instant Amendment is being filed in response to the Office Action mailed July 1, 2005, the three month period for response to which extends through October 3, 2005 (October 1, 2005 being a Saturday and October 2, 2005 being a Sunday).

A complete listing of all claims in the present application, including current amendments to the claims begins on page 2 of this paper.

Remarks begin on page 8 of this paper.